





# Whose data are they anyway?

Tom Hunt & Veerle Van den Eynden



NBN Conference 13 November 2019 Nottingham Interactive session on data ownership, copyright, fair dealing and licensing of biodiversity records and other data that may be collected by citizens, professionals and commercial companies.

What are the opportunities and challenges when publishing, sharing and reusing them?



## Workshop structure

- Background and context:
  - data ownership
  - copyright
  - licensing
  - citizen science
- Examples and attitudes from literature
- Case studies discussion
- Best practice guidance



#### Society for the Preservation of Natural History Collections Licensing And Rights Around Collections Data and symposium

25th - 31st May, Chicago





This strikes me as a very important topic for a symposium or discussion, something we should have in the UK with input from experts. Whose data is it anyway? Would you agree @NBNTrust @NaturalEngland @nature\_scot @NatResWales @\_NFBR?





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#### Why is this an issue?

- Greater amount of digitised data
- Wider range of sources
- Wider range of uses and users
- Wider range of data mediators
- Data linkage options
- Use of Creative Commons licences
- Promotion of particular licences

Many people making more decisions about data, but are they fair and legal?

Who owns biodiversity data?
How can they be used / shared?

#### About UK Data Service

- Curate, preserve, provide access to social science data for reuse
- Data producers: academia, government, commercial, charities
- Funded by UK Research and Innovation ESRC
- Data management advice, guidance, training for data creators
- Support for data users of the service
- Information about the use to which data are put

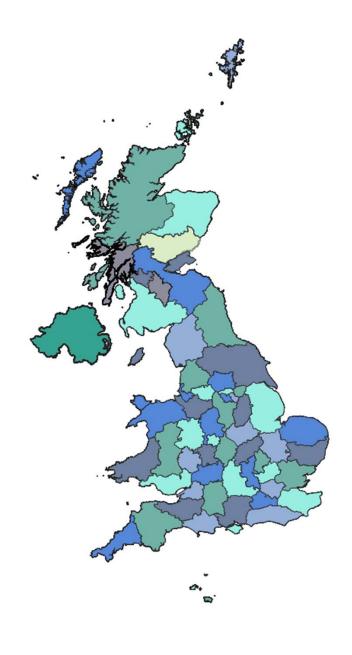
ukdataservice.ac.uk





#### **About ALER**

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#### Some terms

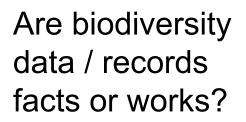
- Creative Commons
  - A not for profit organisation that creates easy to use and flexible licences based on copyright law
  - Use common language and standard terms to replace bespoke T&Cs.
  - Available in human-readable and machine-readable forms
  - Appealing for data sharers, as rights are well-clarified
  - Used by GBIF (Veerle to give more details)

#### Some terms

- Intellectual Property
  - Things that you own that are intangible
- Open Data (ODI)
  - Accessible (ideally via the internet) at no more than the cost of reproduction, without limitations based on user identity or intent
  - In a digital, machine readable format for interoperation with other data
  - Free of restriction on use or redistribution in its licencing conditions.
  - OGL, CC-0, CC-BY

## Does copyright apply?

- Under the Copyright, Designs and Patents Act 1988, copyright applies to:
  - original literary, dramatic, musical or artistic works
  - sound recordings, films, broadcasts or cable programmes
  - the typographical arrangement of publications
- Copyright is an intellectual property right assigned automatically to the creator.
- It prevents un-authorised copying and publishing of an original work.
- Copyright will not cover the underlying facts, ideas or concepts, but only the particular way in which they have been expressed.
- Many research outputs such as spreadsheets, publications, reports and computer programs fall under literary work and are therefore protected by copyright.
- Information being in the public domain (e.g. online) does not mean copyright does not apply



Crawley, D. (2018) Derek Crawley Images. NBN Atlas.

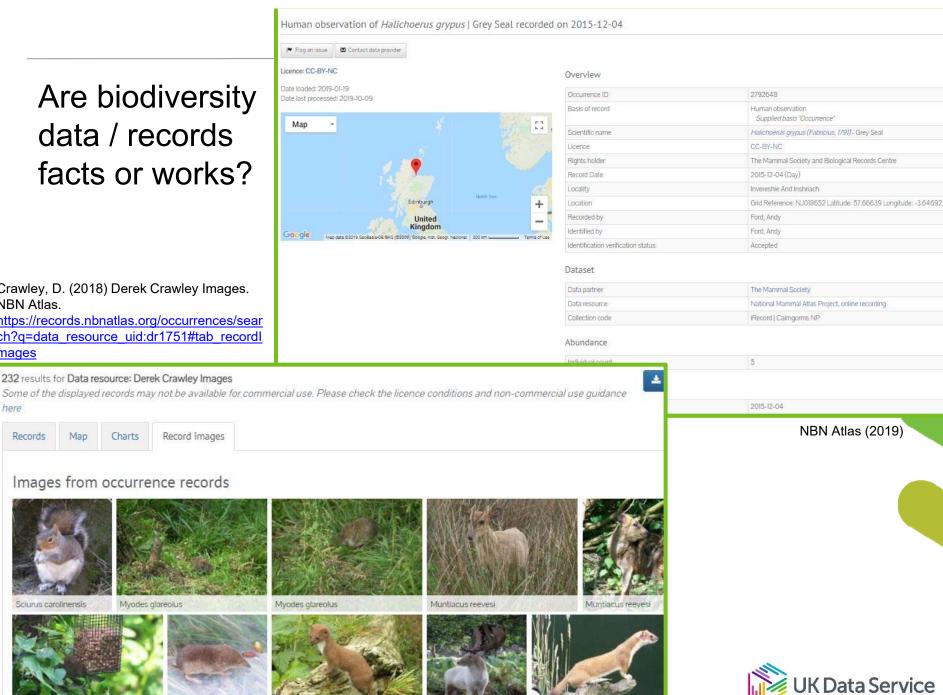
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Charts

Images from occurrence records

Records







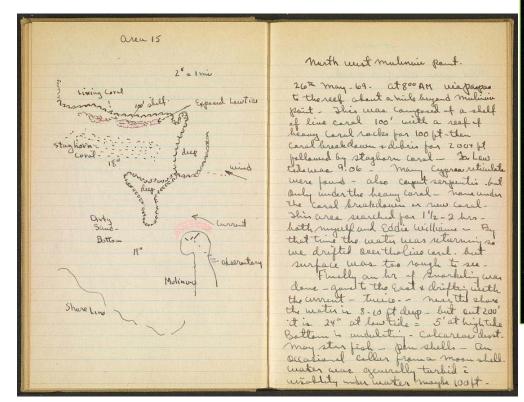
Record images





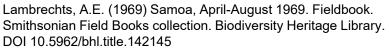


# Are biodiversity data / records facts or works?



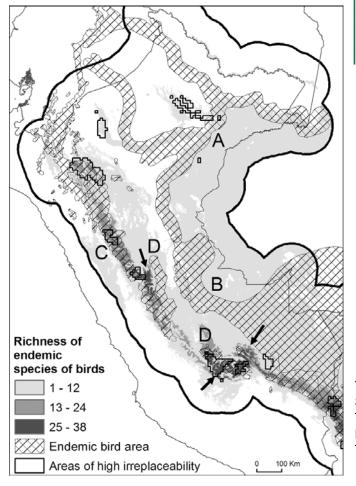
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# Are biodiversity data / records facts or works?



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Woodcock, B.A.; Knäbe, S.; Jakel, K.; Scrimshaw, P.; Bullock, J.M.; Shore, R.F.; Heard, M.S.; Pereira, M.G.; Redhead, J.; Ridding, L.; Dean, H.; Sleep, D.; Henrys, P.A.; Peyton, J.; Hulmes, S.; Hulmes, L.; Genersch, E.; Beckmann, B.; Mitschunas, N.; Webb, J.A.; Pywell, R.F. (2017). Population responses of honeybees to oilseed rape neonicotinoid seed treatments in Hungary, Germany and the UK. NERC Environmental Information Data Centre. <a href="https://doi.org/10.5285/eac530fe-54ad-4570-83d3-c59e70c0af9d">https://doi.org/10.5285/eac530fe-54ad-4570-83d3-c59e70c0af9d</a>

**BibTeXRIS** 

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Young, Bruce & Franke, Irma & P.A.Hernandez, & Herzog, Sebastian & Paniagua, Lily & Tovar Ingar, Carolina & Valqui, Thomas. (2009). Using Spatial Models to Predict Areas of Endemism and Gaps in the Protection of Andean Slope Birds. The Auk. 126. 554-565. 10.1525/auk.2009.08155.



## Are biodiversity records facts or works?

- Single observations
- Photographs
- Videos
- Sound recordings
- Written observations
- Maps

Do not ignore, but manage copyright



## Copyright

- Joint copyright:
  - Data/works created by multiple people
  - Derived data/works
- Contracts
  - Depending on the employer, your contract may state that any works created during employment are the intellectual property of the employer
  - Even so, employers may be OK for employees to be listed as copyright holder, especially in research



#### Database right

- If information is structured in a database, the structure acquires a database right, alongside the copyright in the content of the database.
- The structure is protected, not the content.
- Legally, a database is a collection of independent works arranged in a systematic or methodical way.
- A database may be protected by both copyright and database right.
- The database must be the result of substantial intellectual investment in obtaining, verifying or presenting the content in an original manner. Simply entering facts into a spreadsheet does not count as substantial effort.
- The database right is an automatic right and protects databases against the un-authorised extraction and reuse of the contents.



## Data repositories

- Most data repositories operate a system of not acquiring any copyright ownership in the data
- The creator (or funder) of the work/data is listed as the copyright owner
- The data repository then distributes the dataset through a licence agreement



## Duration of rights

Type of work	Copyright duration			
Literary and artistic works	70 years from the end of the year of the death of creator			
Sound recordings	50 years from date of creation			
Typographical arrangements	25 years from date of publication			
Crown Copyright	50 years from date of publication or 125 years from date of creation			
Database right	15 years from year of completion			



#### Fair dealing

- Works/data can be copied, used, published etc. for non-commercial teaching or research purposes, private study, criticism or review without infringing copyright, provided that the owner of the work is sufficiently acknowledged
- This only applies to literary, dramatic, musical or artistic work, not to films or recordings
- Also applied to database right
- An acknowledgement should give credit to the source used, the distributor and the copyright holder

Also no copyright permission needed if:

- · Copyright has expired
- Free-to-use licence applies, e.g. Creative Commons



#### Copyright permission

- Trace copyright owner
- Ask permission, specifying the use
- If copyright holder cannot be traced; this does not have to exclude reuse
- How other areas handle this:
  - Orphan works register for books, music, paintings, films; UK Intellectual Property Office can licence on behalf of absent copyright holder, if a diligent search has failed to trace/locate the copyright holder
  - Reuse of legacy medical data without consent: ethics committee can consider the case, weighing potential risks to people versus the benefits to society



#### Other ownership aspects

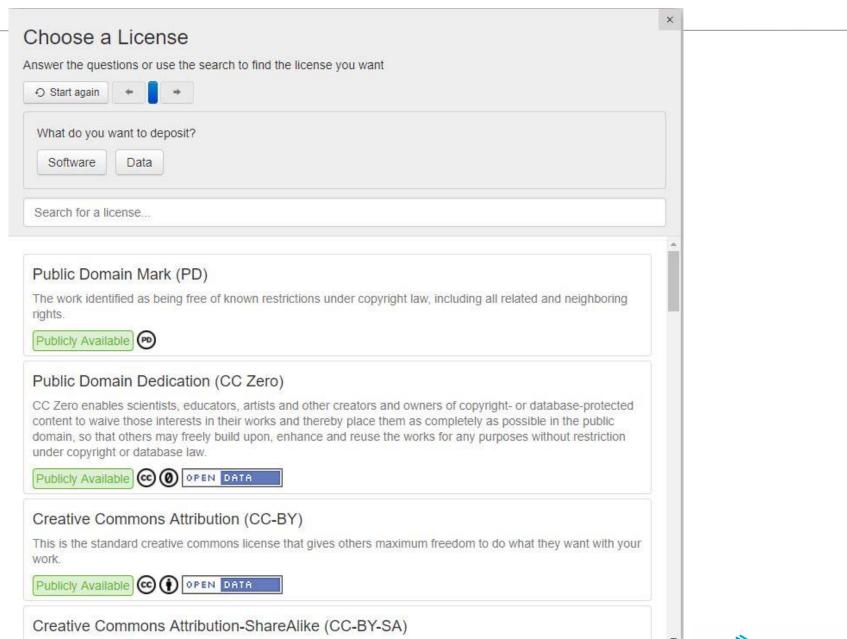
- Traditional knowledge rights
- Ethics of intellectual property in citizen science
  - Acknowledge effort of recorders, though citation, especially those spending considerable time
  - It may not be practical to name all contributors in a citation as 'authors' and it will be easier or more logical to cite through the organization
  - Individual contributions can still be acknowledged as contributors of a dataset.



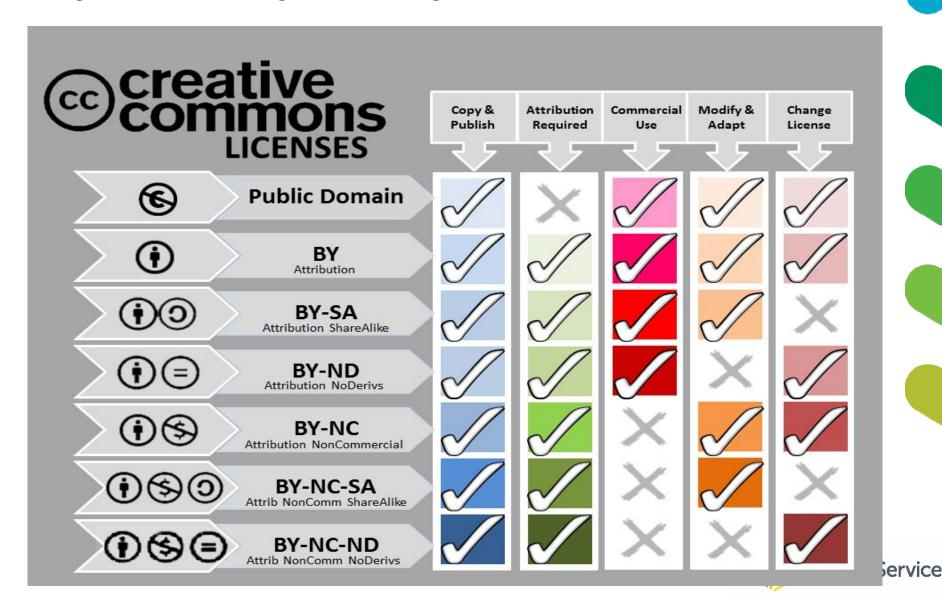
## Licensing data

- A licence agreement is a legal arrangement that sets out what a user can or cannot do with the data
- Variety of licence types: <u>Licence selector</u> available





## Rights, licensing and usage conditions

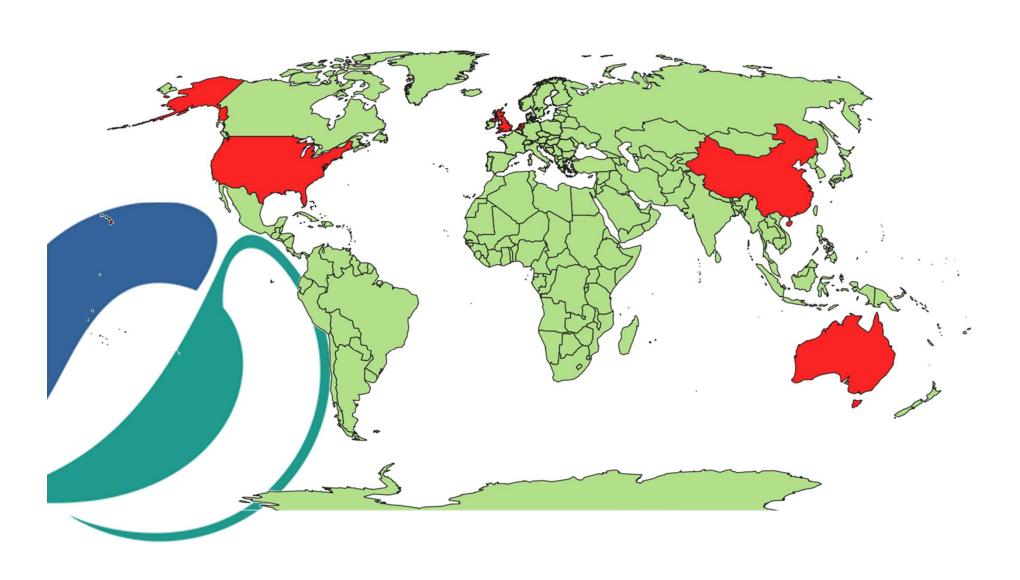


## Creative Commons recommended by GBIF

- A CC licence cannot be revoked once it has been issued
- CC0
  - completely open CC licence
  - copyright owner waives all its rights, including the database right and the right to be identified as the creator
- CC BY
  - attribution
- CC BY NC
  - attribution
  - non-commercial



## Attitudes around the World



#### Yang and Chan (2015), China

 A study into geckos. Detailed location information not published because of risk of poaching for the pet trade



#### Ganzevoort et al (2017), Netherlands

- Surveyed citizen scientists' attitudes to data sharing.
- "Who owns submitted records?"
  - 48.7% "the data are nobody's property (public good)"
  - 27.4% owned by the organisation they were submitted to,
- 8.2% consider these data as personal property "On the other hand, only a small minority (12.3%) supports completely unconditional use of this data, with a majority specifying rules around attribution and financial gain, and a majority of the volunteers also professed an interest in tracking the use of 'their' biodiversity data."

Martin, Christidis and Pecl (2016), Australia

- Survey of volunteer marine surveyors
- Surveyors demonstrated a very high willingness to share data with research organisations (although not 100%)
- "Private research companies or consultants" ranked lowest in this research.

#### Bowser et al (2017), USA

 "Volunteers value open data, and even find bragging rights in broad information dissemination: "I'd like to know if my data is being used by other projects. In fact I'd tell my wife and kids." For many volunteers, personal motivations for sharing data outweigh the risk associated with ceding their privacy"

#### Groom et al. (2017), GBIF

- "Many volunteers retain a sense of ownership of data they have submitted, particularly if significant investment was required, and will withhold them if they perceive those data may be used inappropriately."
- "The provision of data by citizen scientists to organizations undertaking biological recording or monitoring is underpinned by **trust**, which requires those organizations to take account of volunteer perspectives when making decisions about data sharing, and to be open about potential data uses."

#### Ellis and Waterton (2005), UK

"What we find interesting in the light of this exchange of knowledge objects is that knowledge circulation and representation both engender and are framed and enabled by a series of imagined contracts which are presently in a state of flux as amateurs and professionals negotiate their contours in a time of change and experiment introduced by participation."

#### **Sharing Sussex Beetle Records**

- Digitised historic coleoptera records
- Digitised contemporary records
- Contacted all the recorders they could, to seek permission to share records
- With a view to placing on the Atlas under an open licence
- Work funded by DEFRA
- Question, should it be officially recorded somewhere,
   the efforts made to contact recorders?

## An 'as-complete-as-possible' Sussex Beetle Records dataset covering the period to March 2017

#### SUSSEX BIODIVERSITY RECORD CENTRE

#### DESCRIPTION

Beetle records from Sussex shared by various recorders, most notably Peter Hodge - the Sussex County Recorder for Beetles - who has contributed over 65,000 records to this dataset and invested huge amounts of time in verifying the Sussex beetle records. Grant funding from Natural England enabled SxBRC to conduct a large-scale consultation with Sussex beetle recorders and secure the necessary permissions to publish beetle recorders' records at full resolution under a CC-BY licence. This dataset does not include ALL Sussex beetle records held by SxBRC, only those records were we have appropriate permission to share the records under a CC-BY licence, in accordance with the NBN guidance for corded beetles in Sussex and would like your records to appear in



: Sussex Biodiversity Record Centre: bobforeman@sussexwt.org.uk , see www.sxbrc.org.uk

V

:he City of Brighton & Hove.

s who will have been recording for a range of different purposes



#### 92,465 records

#### Data access



100% records have verified identifications

#### Citations



#### Licence

Creative Commons with Attribution 4.0 4.



Temporal scope

#### Conclusions

- The terms IPR and copyright are hardly ever used (if at all).
- Ownership tends to be "sense of ownership"
- Recorders' own attitudes seem to differ depending on circumstances.
- Potentially a difficult environment to navigate when making decisions on management.

#### Conclusions

- Historical records / data
  - some searching/tracing of ownership (copyright) unavoidable
  - ask permission to share/use; this is not impossible
  - some people you can find, others you can't
  - more complex the more you go back in time
- Many recorders will happily agree to their data being shared; some will disagree; some will not respond
- Concerns are not necessarily about the ownership of the records (possessiveness), but rather about having a say in who can use data and how they can be used, e.g. excluding commercial use, excluding public access to rare/sensitive species data, fear of damages being done to species
- Anticipate this by making provisions to enable such conditions or exclusions



#### Recommendations

- At times difficult to determine whether or not copyright applies to data/records
- Do not ignore, but manage copyright
- Irrespective of copyright / ownership, recorders will most likely want to have their efforts acknowledged
- Use common sense in citation: recorders/contributors vs organisation as 'authors'
- Individual contributions can still be acknowledged as contributors of a dataset.
- A clear understanding how records/data can be shared/used, i.e. terms and conditions recorders can agree/disagree to, makes things easier
- Clear terms and conditions are very helpful for data contributors and data users
- Use explicit licences to make it clear how data can be used
- Risks need to be weighed up against benefits
- Have best practice guidance for data / records



#### References

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#### Discussion

- We have three case studies for discussion, based on real scenarios.
- Please feel free to introduce your own case study. We can sort this out over the tea break.
- After tea we will divide into groups and assess the case studies to find out:
  - If the best practice was followed in each in case.
    What should have been done differently, if anything?
  - Is the current best practice guidance on the NBN Website sufficient or does it need amending?

#### Questions

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